

Section 1332 of the Patient Protection and Affordable Care Act (PPACA) State Innovation Waivers – Reinsurance Waiver Quarterly Report

Reporting Instructions: Use the following template to capture data for quarterly 1332 waiver grant reporting, as specified in 45 CFR 155.1324(a), and referenced in your grant specific terms and conditions (STC). Quarterly reports are due 60 days following the end of each calendar quarter.

STATE:

A. GRANTEE INFORMATION			
1. Reporting Period End Date Mar 31, 2020		2. Report Due Date May 29, 2020	
3. 1st Quarterly Report <input checked="" type="radio"/>	2nd Quarterly Report <input type="radio"/>	3rd Quarterly Report <input type="radio"/>	4. Federal Agency and Organization Element to Which Report is Submitted Consumer Information & Insurance Oversight (CCIIO)
5. Federal Grant Number Assigned by Federal Agency SIWIW200014	6a. DUNS Number 082406534		6b. EIN 81-0302402
7. Recipient Organization Name Montana State Auditor			
Address Line 1 840 Helena Ave			
Address Line 2			
Address Line 3			
City Helena	State MT		Zip Code 59601
Zip Extension		8. Grant Period Start Date Jan 1, 2020	
9. Grant Period End Date Dec 31, 2020			
10. Other Attachments (attach other documents as needed or as instructed by the awarding Federal agency)			

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B. REPORT CERTIFICATION	
11. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purposes set forth in the award documents.	
11a. Typed or printed name and title of Authorized Certifying Official Janell Depner	11b. Signature of Authorized Certifying Official
11c. Telephone (area code, number, and extension) 406-444-4613	11d. E-mail address Janell.Depner@mt.gov
11e. Date report submitted (month/day/year) Jul 2, 2020	
C. PROGRESS OF SECTION 1332 WAIVER - General	
12. Provide an update on progress made in implementing and/or operating the approved 1332 waiver.	
<p>Montana Senate Bill 125 requires the Montana Reinsurance Association’s Board of Directors to select an administrator to administer the reinsurance program. The Board is required to establish qualifications and compensation for the administrator. SB 125 further requires that the administrator shall:</p> <ol style="list-style-type: none"> 1. Perform all administrative functions relating to the Association; 2. Submit regular reports to the Board regarding the operation of the Association; and 3. Pay reinsurance claims as provided for in the Plan of Operation. <p>The Board issued a request for information to entities that would be potential administrators of the program. The Board received responses from Benefit Management, LLC and River9 Consulting. The Board issued a request for proposals in February of 2020. The Board received responses from Anderson ZurMuelhlen, Benefit Management, LLC and River9 Consulting. The Board performed interviews with Benefit Management, LLC and River9 Consulting. Pursuant to those interviews and a request for a best and final offer, the Board chose River9 Consulting as the administrator. The effective date of the contract with River9 Consulting is June 1, 2020.</p> <p>Montana Senate Bill 125 requires the Montana Reinsurance Association’s Board of Directors to update the Plan of Operation. The Board met on March 25, 2020 and updated the Plan of Operations to include,</p>	

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among other items, timelines for reporting, auditing and claims processing. The Montana State Auditor, Commissioner of Insurance, approved the updated Plan of Operations on May 5, 2020.

Montana Senate Bill 125 requires that an independent certified public accountant must review the reinsurance program for solvency and compliance with applicable laws. The certified public accountant must use generally accepted accounting principles in performing the audit. The audit must be submitted to the Commissioner and the Economic Affairs Interim Committee by June 30 of each year beginning in 2020. The Montana Reinsurance Association has hired Anderson ZurMuelhlen to conduct the required audit.

The Montana Reinsurance Association Board of Directors has conducted regular board meetings as the need has arisen. The Board has created a subgroup to develop the details related to the claim payment process. The subgroup is expected to report back to the Board on June 1, 2020. Depending on the subgroup's report, the Board may amend the Plan of Operations.

13. Describe any implementation and/or operational challenges, including plans for and results of associated corrective actions. After the first quarter, only report on changes and/or updates, as appropriate.

The Montana Reinsurance Association has not faced any implementation or operational challenges as of this time.

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D. POST-AWARD FORUM <i>(for Quarter Post-Award Forum was held)</i>
<p>14. Was the date, time, and location of the Post-Award Forum advertised 30 days in advance?</p> <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p>15. State website address where Post-Award Forum was advertised</p>
<p>16. Date Post-Award Forum took place</p> <p>June 12, 2020</p>
<p>17. Summary of Post-Award Forum, held in accordance with §155.1320(c), including all public comments received and actions taken in response to concerns or comments.</p> <p>A post award forum was held on June 12, 2020. No public comments were received.</p>
<p>18. Other Attachments (attach other documents as needed pertaining to Post-Award Form</p>