

Section 1332 of the Patient Protection and Affordable Care Act (PPACA)

State Innovation Waivers – Reinsurance Waiver Quarterly Report

Reporting Instructions: Use the following template to capture data for quarterly 1332 waiver grant reporting, as specified in 45 CFR 155.1324(a), and referenced in your grant specific terms and conditions (STC). Quarterly reports are due 60 days following the end of each calendar quarter.

STATE:

A. GRANTEE INFORMATION			
1. Reporting Period End Date September 30, 2022		2. Report Due Date November 30, 2022	
3. 1st Quarterly Report <input type="radio"/>	2nd Quarterly Report <input type="radio"/>	3rd Quarterly Report <input checked="" type="radio"/>	4. Federal Agency and Organization Element to Which Report is Submitted Consumer Information & Insurance Oversight (CCIIO)
5. Federal Grant Number Assigned by Federal Agency SIWIW200014		6a. DUNS Number 082406534	6b. EIN 81-0302402
7. Recipient Organization Name Montana State Auditor, Commissioner of Securities and Insurance			
Address Line 1 840 Helena Ave			
Address Line 2			
Address Line 3			
City Helena		State MT	Zip Code 59601
Zip Extension		8. Grant Period Start Date January 1, 2020	
9. Grant Period End Date December 31, 2024			
10. Other Attachments (attach other documents as needed or as instructed by the awarding Federal agency) Montana Reinsurance Program Quarterly Operational Report as of 9/30/2022.			

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B. REPORT CERTIFICATION	
11. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purposes set forth in the award documents.	
11a. Typed or printed name and title of Authorized Certifying Official Mary Belcher, Deputy State Auditor Office of the Commissioner of Securities and Insurance	11b. Signature of Authorized Certifying Official
11c. Telephone (area code, number, and extension) (406) 444-3467	11d. E-mail address mary.belcher@mt.gov
11e. Date report submitted (month/day/year) November 30, 2022	
C. PROGRESS OF SECTION 1332 WAIVER - General	
12. Provide an update on progress made in implementing and/or operating the approved 1332 waiver. (1) Operational Expenses. All operational expenses have been paid from draws on the federal grant. Claims for the 2021 year which are pending reimbursement to carriers will be funded by a combination of state funds and federal grant funds. These claims were submitted on or before August 15, 2022, to the program administrator and will be paid by December 31, 2022. Detail of the claims will be provided in the annual report.	
(2) Updates to the Plan of Operation. A history of the payment parameters throughout the years has been added to the Plan of Operation. The Board of Directors will continue to maintain and update the Plan of Operation as needed.	
(3) Cost Containment. CSI is working with the carriers on reporting utilization review statistics related to cost containment measures. Any information gathered through this process will be provided to CMS at a later date.	
(4) Premium Base for Assessments. The Board and CSI discussed amending the definition of Premium Base for assessments purposes. Such amendment would require legislative approval. Upon further review, it was determined the proposal would not significantly expand membership for the additional dollars collected. Montana is no longer considering expanding the assessment base.	

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(5) Pass through funding report. The Pass through funding report was submitted to CMS before September 23, 2022.

(6) 2023 Proposed Legislation. Montana's 2023 legislative session (68th regular session) will start on Monday, January 2, 2023 and is scheduled to end late April/early May 2023. As of the date of this report's submission, the CSI, with the Board's input and consent, will propose a legislative change to the Montana Reinsurance Association Act (Title 33, chapter 22, part 13, Montana Code Annotated). Specifically the CSI is proposing to amend Section 33-22-1316(1) , MCA, to clarify that claims from the preceding benefit year that are not submitted for reimbursement by the annual submission date (established by the Board) will not be reimbursed. We will provide continued status reports on the proposed legislation as it works its way through the 2023 legislature.

13. Describe any implementation and/or operational challenges, including plans for and results of associated corrective actions. After the first quarter, only report on changes and/or updates, as appropriate.

The Montana Reinsurance Association has not faced any implementation or operational challenges.

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D. POST-AWARD FORUM <i>(for Quarter Post-Award Forum was held)</i>	
14. Was the date, time, and location of the Post-Award Forum advertised 30 days in advance? <input checked="" type="radio"/> Yes <input type="radio"/> No	
15. State website address where Post-Award Forum was advertised reinsurance.mt.gov - posted on March 23, 2022	
16. Date Post-Award Forum took place April 28, 2022 1:00 pm MT	
17. Summary of Post-Award Forum, held in accordance with §155.1320(c), including all public comments received and actions taken in response to concerns or comments. No public comment received.	
18. Other Attachments (attach other documents as needed pertaining to Post-Award Form Provided with the 6/30/2022 report.	