

Section 1332 of the Patient Protection and Affordable Care Act (PPACA) State Innovation Waivers – Reinsurance Waiver Quarterly Report

Reporting Instructions: Use the following template to capture data for quarterly 1332 waiver grant reporting, as specified in 45 CFR 155.1324(a), and referenced in your grant specific terms and conditions (STC). Quarterly reports are due 60 days following the end of each calendar quarter.

STATE:

A. GRANTEE INFORMATION			
1. Reporting Period End Date 9/30/2023		2. Report Due Date 11/30/2023	
3. 1st Quarterly Report <input type="radio"/>	2nd Quarterly Report <input type="radio"/>	3rd Quarterly Report <input checked="" type="radio"/>	4. Federal Agency and Organization Element to Which Report is Submitted Consumer Information & Insurance Oversight (CCIIO)
5. Federal Grant Number Assigned by Federal Agency SIWIW200014	6a. DUNS Number 082406534	6b. EIN 81-0302402	
7. Recipient Organization Name Montana State Auditor, Commissioner of Securities and Insurance			
Address Line 1 840 Helena Avenue			
Address Line 2			
Address Line 3			
City Helena	State MT	Zip Code 59601	
Zip Extension		8. Grant Period Start Date January 1, 2020	
9. Grant Period End Date December 31, 2024			
10. Other Attachments (attach other documents as needed or as instructed by the awarding Federal agency) Montana Reinsurance Program Quarterly Operational Report as of 9/30/2023			

INFORMATION NOT RELEASABLE TO THE PUBLIC UNLESS AUTHORIZED BY LAW: This information has not been publicly disclosed and may be privileged and confidential. It is for internal government use only and must not be disseminated, distributed, or copied to persons not authorized to receive the information. Unauthorized disclosure may result in prosecution to the full extent of the law.

Section 1332 of the Patient Protection and Affordable Care Act (PPACA) State Innovation Waivers – Reinsurance Waiver Quarterly Report

B. REPORT CERTIFICATION	
11. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purposes set forth in the award documents.	
11a. Typed or printed name and title of Authorized Certifying Official Andrew J. Cziok, Legal Counsel	11b. Signature of Authorized Certifying Official <div style="display: flex; align-items: center;"> <div style="font-size: 2em; margin-right: 10px;"> Andrew J. Cziok </div> <div> Digitally signed by Andrew J. Cziok Date: 2023.11.27 14:10:49 -07'00' </div> </div>
11c. Telephone (area code, number, and extension) (406) 444-3467	11d. E-mail address acziok@mt.gov
11e. Date report submitted (month/day/year) Nov 27, 2023	
C. PROGRESS OF SECTION 1332 WAIVER - General	
12. Provide an update on progress made in implementing and/or operating the approved 1332 waiver.	
(1) Operational Expenses - All 2023 operational expenses have been paid from draws on the federal grant. Please refer to attached Quarterly Operational Report for details. 2023 Claims Reimbursement is in process and will be paid next quarter using a combination of state funds and federal grant funds.	
(2) Updates to the Plan of Operation - The cut off date for run out claims has been changed to "the Federal Edge Server cut-off" date instead of April 30. The second run-out period has been removed effective October 1, 2023 in accordance with state legislative changes that were adopted. Schedule A in the Plan of Operations was changed to include the 2024 attachment points of \$40,000, a reinsurance cap of \$90,000 and co-insurance amount of 60%. These changes were adopted by the Board of Directors on July 20, 2023 and signed by the commissioner on August 28, 2023.	
(3) Pass-through Funding - An extension to 10/6/23, was granted to Montana for the Pass-through funding report by CMS due to the consulting actuaries requesting additional time to complete and validate some of the rate data involved. The Pass Through Funding report was filed on October 6, 2023.	
(4) 1332 Waiver Extension - The consulting actuaries are working on the Guardrails Analysis that will be provided with the application for extension after January 1, 2024.	

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13. Describe any implementation and/or operational challenges, including plans for and results of associated corrective actions. After the first quarter, only report on changes and/or updates, as appropriate.

The Montana Reinsurance Association has not faced implementation or operational challenges.

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D. POST-AWARD FORUM <i>(for Quarter Post-Award Forum was held)</i>
<p>14. Was the date, time, and location of the Post-Award Forum advertised 30 days in advance?</p> <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p>15. State website address where Post-Award Forum was advertised</p> <p>reinsurance.mt.gov - posted on March 17, 2023</p>
<p>16. Date Post-Award Forum took place</p> <p>Thursday April 27, 2023 at 10:00 AM, MST</p>
<p>17. Summary of Post-Award Forum, held in accordance with §155.1320(c), including all public comments received and actions taken in response to concerns or comments.</p> <p>The minutes were provided in the June 30, 2023 second quarter report.</p>
<p>18. Other Attachments (attach other documents as needed pertaining to Post-Award Form</p> <p>Supplied in June 30, 2023 second quarter report.</p>